

Firm Name: RSM US LLP  
 Engagement: MMC ROC Project - 14-033C  
 For Quarter Ending: 09/30/2020

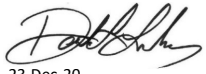
Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Transportation Trust Fund \$8,821,158.91	
4.	Award Type	Federal Transit Administration Public Transportation Emergency Relief Program	
5.	Award Amount - Federally Funded	\$22,901,040.94	Total Project Budget \$30.99 mm
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project is for design and installation of systems and devices to prevent or reduce water from entering the Meadows Maintenance Complex (MMC) buildings and the Rail Operations Center (ROC). These resilience improvements will protect ROC and MMC facilities during storms so that they can continue to operate normally, or to allow the rapid resumption of services once a storm is over. The project includes design, project administration, construction, and the purchase of necessary equipment and materials.	
8.	Contract/Program Location	NJ TRANSIT Meadows Maintenance Complex (MMC), Kearny, New Jersey	
9.	Federal Amount Expended to Date	\$22,901,040.94	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	Summer 2020	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Preparation and submission of monthly Form E.  RSM obtained and reviewed project documentation in conjunction with our approved workplan. (Approved November 26, 2019).	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	RSM continued reviewing documents and conducting testing in accordance with the execution of our approved workplan.  This information included but was not limited to: -Grant agreement -Contracts -Procurement / bidding documentation -Invoices / pay applications -Change orders / Proposed changes -Vendor contact information -Weekly project meeting minutes	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	We have reviewed the tabulations, and discussed procurement procedures with NJT procurement staff to expand our understanding and have continued execution of test procedures.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	We have performed detailed inspection of 15 of 15 construction (17-006X), design, and 39 of 39 engineering (13-006B) contractors project pay applications/invoices in line with our approved worksteps. This includes testing for compliance with Buy America and prevailing wage.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Our meetings with key stakeholders (including NJT Capital Programs, NJT Office of Business Development, Procurement, bidding prime contractors and awarded subcontractors, requests and review of source documents all contribute to preventing and detecting waste, fraud and abuse.	

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19.	Provide details of any integrity issues/findings	<p>The IOM noted the following findings as part of monitoring procedures performed through September 30, 2020:</p> <p>a. Amounts noted for payments to DBE subcontractors on Form E did not agree to amounts noted as received by the sole DBE subcontractor for the period of 08/01/2018 to 01/31/2020.</p> <p>b. Certified payroll records were not produced by the DBE subcontractor, or collected by the prime contractor, until requested by the IOM. Certified payroll records that were produced are incomplete.</p> <p>c. Jobsite logs and sign-in sheets maintained separately by prime contractor and NJ Transit do not agree to each other.</p>	In consideration of the missing documentation and discrepancies noted herein, IOM has requested to obtain DBE accounting records to perform additional procedures. NJ Transit is assisting with efforts to obtain this requested information.
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	During this period, the State of New Jersey was under a State of Emergency related to the spread of COVID-19. Our communications and attendance at meetings was conducted virtually. Through our monitoring procedures, the project does not appear to have been affected.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	<p>For the period July 1, 2020 - September 30, 2020, the following individuals have expended a total of 110.3 hours.</p> <p>Name:                      Shawn Dahl                      David Luker                      Brian Tracy                      Matthew Blondell                      Luckie Kaufman                      Ryan Daly                      Chris Fitzgerald                      Devann Marchand                      Marcelo Fuentes                      Michael Mudalel                      Brandon Smith</p>	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor:	RSM US LLP
Name of Report Preparer:	David Luker
Signature:	
Date:	23-Dec-20