

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: EisnerAmper LLP

Work Authorization No. 2 Hudson-Bergen Light Rail (HBLR) Repair and Recovery and Locally Prioritized Resilience (NJ-44-X003)

Engagement: Integrity Oversight Monitoring Contract 14-033B

For Quarter Ending: 06/30/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|---------------------------------|---|--|----------|
| A. General Info | | | |
| 1. | Recipient of funding | New Jersey Transit Corporation | |
| 2. | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3. | State Funding (if applicable) | Not applicable | |
| 4. | Award Type | FTA-Public Transportation Emergency Relief Program | |
| 5. | Award Amount | Total Project: \$44,904,852 | |
| 6. | Contract/Program Person/Title | Jignasa Desai-McCleary, Chief, Procurement & Support Services | |
| 7. | Brief Description, Purpose and Rationale of Project/Program | <p>The Hudson-Bergen Light Rail Repair and Recovery and Locally Prioritized Resilience is comprised of the following scope of work:</p> <ul style="list-style-type: none"> - Sandy DMG. 05 HBLR 4 Track & Row: Repair/replacement of damaged track components (rail resurfacing, lubricators, heaters, drainage swales, inlets, culverts and ballast washout and related activities. - Sandy DMG. 05 HBLR 4 Vehicle Base Facility: Replacement of damaged yard switch machines, installation of storage container for critical parts, auxiliary power repairs, and switch heater repairs, purchase of Wheel Truing Machine and installation of VBF, and the purchase of off site wheel truing services. - Sandy DMG. 05 HBLR 4 Signals and Communications: Replacement of mainline switches, impedance bond boxes, repairs at grade crossings, replacement of communication components, installation of Mill Creek CIH and testing and replacement of signal cable. - Sandy DMG. 05 HBLR 4 Traction Power/Station Dist. Power: Testing and replacement of Auxiliary Power Cable, repair Traction Power Cables and associated costs for design, project administration, construction, the purchase of necessary equipment and materials, and other related costs. | |
| 8. | Contract/Program Location | HBLR Line - Jersey City/Hudson County | |
| 9. | Amount Expended to Date | \$16,932,252.19 | |
| 10. | Amount Provided to other State or Local Entities | None | |
| 11. | Completion Status of Contract or Program | In progress | |
| 12. | Expected Contract End Date/Time Period | 12/31/2019 | |
| B. Monitoring Activities | | | |
| 13. | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable | |

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| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | Conduct a site visit on April 13, 2019 to observe installation of Essex Street jumpers in connection to the replacement of Essex Street Traction Power Feeders. Held a conference call on 6/20/19 with NJT IAD to discuss draft DBE Report observations. Held a conference call on 6/21/19 with J. Victor, Chief of HR regarding departure of the former Auditor General of NJT. | |
| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | Received NPC status logs from NJT Procurement (March 2019, April 2019, and May 2019). Received checked copies of receipted invoices during the month of February 2019, April 2019 and May 2019. Received procurement documents for various NPCs. Received safety sign-in sheet for work conducted on April 13, 2019. | |
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements. | Testing of procurement files and invoices for a selection of NPCs, conducting DBE monitoring procedures and preparing draft DBE Report. | |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe | Payment requisitions were provided and are being tested by EisnerAmper for a selection of invoices. | |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse. | Continued testing of procurement files and invoices, conducting fieldwork for construction monitoring. | |
| 19. | Provide details of any integrity issues/findings | None noted; ongoing testing. | |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s). | None noted | |
| 21. | Provide details on any other items of note that have occurred in the past quarter | None noted | |
| 22. | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable | |

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| C. Miscellaneous | | | |
| 23. | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | See attached hours summary. | |
| 24. | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None noted | |

Name of Integrity Monitor:

EisnerAmper LLP

Name of Report Preparer:

Elliott Lee

Signature:



Date: October 1, 2019

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Attachment for Question #23

| Professional | Hours |
|----------------------|-------------|
| David A. Cace | 1.85 |
| Elliott Lee | 17.10 |
| Timothy Van Noy | 6.75 |
| Nancy Pham | 20.25 |
| Jared Lindenberg | 41.10 |
| Marisol Gonzalez | 13.20 |
| Robert Bright * | 1.00 |
| Ken Brzozowski * | 5.00 |
| Elwood Hill* | 51.00 |
| Courtney Meizinger * | 26.00 |
| Stephanie Blue * | 6.00 |
| | <hr/> |
| | 189.25 |
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* Member of EisnerAmper's DBE firm.